

#13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Matthew J. Mason

SC/Serial No.: 09/115,273

Confirm. No.:

Filed: July 14, 1998

Title: METHOD AND APPARATUS FOR  
INTERACTING WITH A SOURCE CODE  
CONTROL SYSTEM

PATENT APPLICATION


Art Unit: 2773

Examiner: Brown, D.

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Art Unit 2773, Washington, DC 20231, on January 12, 2001

 (Attorney Signature)

Brent A. Folsom, Reg. No. 43,614

Signature Date: January 12, 2001

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents  
Art Unit 2773  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

***This statement should be considered because:***

— **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

✓ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

-- OR --

— (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **PTA Statement under 37 C.F.R. §704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER, DUBB, MEYER & LOVEJOY LLP

Date: January 12, 2001

By: Brent A. Folsom

Brent A. Folsom, Reg. No. 43,614

**STATEMENT**

*(Attachment to Information Disclosure Statement)  
(Use only if required)*

√ 37 C.F.R. §1.97(e)(1). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; or

— 37 C.F.R. §1.97(e)(2). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

FLIESLER, DUBB, MEYER & LOVEJOY LLP

Date: January 12, 2001

By: 

Brent A. Folsom  
Reg. No. 43,614

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>PLAT-01000US2</b>	Serial/Patent Number <b>09/115,273</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner <b>Matthew J. Mason</b>	
		Filing/Issue Date <b>July 14, 1998</b>	Group Art Unit <b>2773</b>

## U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	Inventor	Class	Subclass	Filing Date
	5,452,415	9/19/95	Michael A. Hotka	395	161	3/26/92

## U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

## PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	Inventor	Petition to Expunge? Yes   No

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes   No

## OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

--	--

Examiner

Date Considered

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN    /    , filed    , 20   , relied on under 35 USC §120.

\*2 = Copy not submitted because it was submitted in prior application SN    /    , filed    , 20   , relied on under 35 USC §120.

Fliesler, Dubb, Meyer & Lovejoy  
File: PLAT-01000US2

Action Item:                     

Date Due:                     

Critical Date:                     

Attorney Path: MCF/DAF

Docketed By: mpx

Verified By: me, mls

The U.S. PATENT Office date stamp and Serial No. (if a new application) sets forth the date of receipt of:  
Applicant: Matthew J. Mason

Patent/Serial No.: 09/115,273 Issued/Filing Date: 7/14/98

Title: Method And Apparatus For Interacting With A SOURCE  
Code Control System

- ☐ Patent Application and \$                      fee
- Pages in Spec.                      : No. of Claims
- ☐ CPA ☐ CIP ☐ CON ☐ DIV ☐ Provisional
- ☐ No. of Sheets of Drawings                      : ☐ Formal or ☐ Informal
- ☐ Preliminary Amendment
- ☐ Response to Notice of Missing Parts
- ☐ Assignment and Cover Sheet
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Small Entity Statement
- ☐ Petition for Extension of Time (                      months)
- ☐ Response
- ☐ FEE: \$
- ☐ Other:

- ☒ IDS
- ☐ Issue Fee Transmittal
- ☐ Transmittal Letter
- ☒ Certificate of Mailing
- ☐ Express Mail No.
- ☐ Notice of Appeal
- ☐ Appeal Brief



JAN 22 2001

FLIESLER DUBB,  
MEYER & LOVEJOY

File No.: PLAT-01000US2

Date Mailed: 1/12/01

Attorney/Secy: BAF/mbj

Date Due: 1/17/01

Docket